



**HILL TOP RESEARCH, INC.**

**APPENDIX III**

**NEUTRALIZER**

## NEUTRALIZER/TOXICITY EVALUATION TEST

### PURPOSE

To determine the effectiveness of a neutralizer to inactivate an antimicrobial agent and to determine if the neutralizer is toxic to the test organism.

### TEST ARTICLES

The test articles, identified by the sponsor as 3554-194 and 3554-196, were received from Dial Corporation for use in this test.

### NEUTRALIZER

The neutralizer used for this test was D/E Neutralizing Broth.

Neutralizer/Toxicity Blanks, test articles, control ingredients and test organisms used in this neutralization study were as follows:

- 1) One (1.0) mL of diluted test articles (75% in sterile purified water).
- 2) A 1.0 mL portion of the test organism suspensions, further diluted in AOAC Phosphate Buffer Dilution Water to approximately  $1 \times 10^4$  CFU/mL was used to deliver  $\sim 1 \times 10^2$  CFU/mL in the final dilution for the test organisms, *Enterococcus faecalis*, ATCC 29212, *Staphylococcus aureus*, ATCC 6538, *Corynebacterium minutissimum* ATCC 23347 and *Streptococcus pneumoniae*, ATCC 6303.
- 3) Ninety-nine (99) mL of D/E Neutralizing Broth.
- 4) Ninety-nine (99) mL of AOAC Phosphate Buffer Dilution Water.

## PROCEDURE

The procedure used for this test is outlined in the Protocol and the following modifications are a result of the particular test organisms, neutralizer, and test conditions.

The test organisms used for this study were *Enterococcus faecalis*, ATCC 29212, *Staphylococcus aureus*, ATCC 6538, *Corynebacterium minutissimum* ATCC 23347 and *Streptococcus pneumoniae*, ATCC 6303. They were prepared as outlined in the protocol.

### Neutralizer Effectiveness

One bottle of neutralizer and one bottle of AOAC Phosphate Buffered Water were equilibrated to 20-25°C and then 1.0 mL of the diluted test article was added and thoroughly mixed. Immediately following mixing, 1.0 mL of the test organism (adjusted to  $\sim 1 \times 10^4$  CFU/mL) was added to each bottle and mixed again. Immediately following this second mixing, three 1.0 mL aliquots and three 0.1 mL aliquots, from each bottle, were plated. The plates were incubated at  $35 \pm 2^\circ\text{C}$  for  $48 \pm 2$  hours. Following incubation, colony forming units (CFU's) per mL were calculated. This procedure was repeated after 20 minutes from the same bottles.

### Neutralizer Toxicity

One bottle of neutralizer and one bottle of AOAC Phosphate Buffered Water were equilibrated to 20-25°C and then 1.0 mL of the test organism (adjusted to  $\sim 1 \times 10^4$  CFU/mL) was added. Immediately following mixing, three 1.0 mL aliquots and three 0.1 mL aliquots, from each bottle, were plated.

### **Neutralizer Toxicity (Cont.)**

The plates were incubated at  $35 \pm 2^{\circ}\text{C}$  for  $48 \pm 2$  hours. Following incubation, colony forming units (CFU's) per mL were calculated. This procedure was repeated after 20 minutes from the same bottles.

Neutralizer toxicity is evident if more than a 50% difference is observed in recovery of numbers of test organism in the neutralizer used versus recovery from the AOAC Phosphate Buffer Dilution Water. Similarly, neutralizer effectiveness is evident if less than a 50% difference is observed in numbers of test organism recovered when comparing counts in the neutralizer with and without the test substance added.

### **RESULTS**

Results of both neutralizer toxicity and neutralizer effectiveness are shown in the attached Tables of Results on pages 35 - 43.

### **CONCLUSION**

The neutralizer was effective in neutralizing the diluted test articles (75%) and was not toxic to the test organisms.

**TABLE I-A OF RESULTS**  
**NEUTRALIZER/TOXICITY RESULTS**

**Test Article:** 3554-194  
**Test Date:** July 31, 2003  
**Test Organism:** *Corynebacterium minutissimum*, ATCC 23347

**Neutralizer Effectiveness**

Neutralizer Medium				AOAC Phosphate Buffer Water			
0 Time		20 Minutes		0 Time		20 Minutes	
1.0 mL	0.1 mL	1.0 mL	0.1 mL	1.0 mL	0.1 mL	1.0 mL	0.1 mL
<u>86</u>	1	<u>86</u>	5	<u>77</u>	0	<u>25</u>	4
<u>61</u>	3	<u>62</u>	4	<u>60</u>	6	<u>0</u>	6
<u>95</u>	8	<u>61</u>	5	<u>76</u>	2	<u>8</u>	3
Avg. Count 81		Avg. Count 70		Avg. Count 71		Avg. Count 11	
Adjusted Count* 80		Adjusted Count* 69		Adjusted Count* 70		Adjusted Count* 11	

**Neutralizer Toxicity**

Neutralizer Medium				AOAC Phosphate Buffer Water			
0 Time		20 Minutes		0 Time		20 Minutes	
1.0 mL	0.1 mL	1.0 mL	0.1 mL	1.0 mL	0.1 mL	1.0 mL	0.1 mL
<u>73</u>	4	<u>75</u>	2	LA	<u>4</u>	<u>64</u>	9
<u>60</u>	9	<u>90</u>	5	LA	<u>7</u>	<u>91</u>	4
<u>85</u>	8	<u>78</u>	6	LA	<u>5</u>	<u>53</u>	6
Avg. Count 73		Avg. Count 81		Avg. Count 53		Avg. Count 69	

Underlined values used in calculations

LA = Lab Accident; probable plating error

\* Average Count is multiplied by a 0.99 conversion factor for comparing Neutralizer and Toxicity Test results

**TABLE I-B OF RESULTS**  
**NEUTRALIZER/TOXICITY RESULTS**

**Test Article:** 3554-196  
**Test Date:** July 31, 2003  
**Test Organism:** *Corynebacterium minutissimum*, ATCC 23347

**Neutralizer Effectiveness**

Neutralizer Medium				AOAC Phosphate Buffer Water			
0 Time		20 Minutes		0 Time		20 Minutes	
1.0 mL	0.1 mL	1.0 mL	0.1 mL	1.0 mL	0.1 mL	1.0 mL	0.1 mL
<u>105</u>	10	<u>49</u>	2	<u>0</u>	0	<u>0</u>	0
<u>86</u>	7	<u>126</u>	4	<u>0</u>	0	<u>0</u>	0
<u>96</u>	6	<u>69</u>	4	<u>0</u>	0	<u>0</u>	0
Avg. Count 96		Avg. Count 81		Avg. Count <1		Avg. Count <1	
Adjusted Count* 95		Adjusted Count* 80		Adjusted Count* <1		Adjusted Count* <1	

**Neutralizer Toxicity**

Neutralizer Medium				AOAC Phosphate Buffer Water			
0 Time		20 Minutes		0 Time		20 Minutes	
1.0 mL	0.1 mL	1.0 mL	0.1 mL	1.0 mL	0.1 mL	1.0 mL	0.1 mL
<u>73</u>	4	<u>75</u>	2	LA	<u>4</u>	<u>64</u>	9
<u>60</u>	9	<u>90</u>	5	LA	<u>7</u>	<u>91</u>	4
<u>85</u>	8	<u>78</u>	6	LA	<u>5</u>	<u>53</u>	6
Avg. Count 73		Avg. Count 81		Avg. Count 53		Avg. Count 69	

Underlined values used in calculations

LA = Lab Accident; probable plating error

\* Average Count is multiplied by a 0.99 conversion factor for comparing Neutralizer and Toxicity Test results

**TABLE II-A OF RESULTS**  
**NEUTRALIZER/TOXICITY RESULTS**

**Test Article:** 3554-194  
**Test Date:** July 25, 2003  
**Test Organism:** *Enterococcus faecalis*, ATCC 29212

**Neutralizer Effectiveness**

Neutralizer Medium								AOAC Phosphate Buffer Water							
0 Time				20 Minutes				0 Time				20 Minutes			
1.0 mL			0.1 mL	1.0 mL			0.1 mL	1.0 mL			0.1 mL	1.0 mL			0.1 mL
<u>26</u>	<u>31</u>	<u>28</u>	13	<u>34</u>	<u>39</u>	<u>30</u>	10	<u>26</u>	<u>25</u>	<u>34</u>	8	<u>29</u>	<u>33</u>	<u>18</u>	7
<u>22</u>	<u>32</u>	<u>34</u>	14	<u>32</u>	<u>32</u>	<u>26</u>	10	<u>18</u>	<u>47</u>	<u>29</u>	22	<u>39</u>	<u>35</u>	<u>37</u>	8
<u>21</u>	<u>34</u>	<u>26</u>	10	<u>35</u>	<u>31</u>	<u>35</u>	7	<u>28</u>	<u>36</u>	<u>46</u>	12	<u>22</u>	<u>25</u>	<u>38</u>	7
Avg. Count 85				Avg. Count 98				Avg. Count 96				Avg. Count 92			
Adjusted Count* 84				Adjusted Count* 97				Adjusted Count* 95				Adjusted Count* 91			

**Neutralizer Toxicity**

Neutralizer Medium								AOAC Phosphate Buffer Water							
0 Time				20 Minutes				0 Time				20 Minutes			
1.0 mL			0.1 mL	1.0 mL			0.1 mL	1.0 mL			0.1 mL	1.0 mL			0.1 mL
<u>38</u>	<u>28</u>	<u>32</u>	8	<u>42</u>	<u>34</u>	<u>47</u>	15	<u>45</u>	<u>42</u>	<u>47</u>	12	<u>42</u>	<u>36</u>	<u>52</u>	12
<u>32</u>	<u>30</u>	<u>40</u>	8	<u>31</u>	<u>21</u>	<u>42</u>	15	<u>37</u>	<u>37</u>	<u>45</u>	16	<u>37</u>	<u>43</u>	<u>32</u>	6
<u>29</u>	<u>23</u>	<u>32</u>	17	<u>37</u>	<u>37</u>	<u>32</u>	14	<u>37</u>	<u>45</u>	<u>36</u>	14	<u>58</u>	<u>35</u>	<u>46</u>	19
Avg. Count 95				Avg. Count 108				Avg. Count 124				Avg. Count 127			

Underlined values used in calculations

1.0 mL - 1 mL spread across 3 plates, counts totaled

\* Average Count is multiplied by a 0.99 conversion factor for comparing Neutralizer and Toxicity Test results



**TABLE II-B OF RESULTS**  
**NEUTRALIZER/TOXICITY RESULTS**

**Test Article:** 3554-196  
**Test Date:** July 25, 2003  
**Test Organism:** *Enterococcus faecalis*, ATCC 29212

**Neutralizer Effectiveness**

Neutralizer Medium								AOAC Phosphate Buffer Water							
0 Time				20 Minutes				0 Time				20 Minutes			
1.0 mL			0.1 mL	1.0 mL			0.1 mL	1.0 mL			0.1 mL	1.0 mL			0.1 mL
<u>14</u>	<u>17</u>	<u>19</u>	9	<u>27</u>	<u>28</u>	<u>24</u>	9	<u>30</u>	<u>32</u>	<u>39</u>	13	<u>24</u>	<u>32</u>	<u>36</u>	5
<u>21</u>	<u>13</u>	<u>25</u>	14	<u>36</u>	<u>31</u>	<u>25</u>	11	<u>26</u>	<u>37</u>	<u>39</u>	9	<u>32</u>	<u>38</u>	<u>30</u>	18
<u>18</u>	<u>43</u>	<u>25</u>	13	<u>39</u>	<u>33</u>	<u>23</u>	15	<u>28</u>	<u>25</u>	<u>24</u>	9	<u>20</u>	<u>35</u>	<u>29</u>	11
Avg. Count			65	Avg. Count			89	Avg. Count			93	Avg. Count			92
Adjusted Count*			64	Adjusted Count*			88	Adjusted Count*			92	Adjusted Count*			91

**Neutralizer Toxicity**

Neutralizer Medium								AOAC Phosphate Buffer Water							
0 Time				20 Minutes				0 Time				20 Minutes			
1.0 mL			0.1 mL	1.0 mL			0.1 mL	1.0 mL			0.1 mL	1.0 mL			0.1 mL
<u>38</u>	<u>28</u>	<u>32</u>	8	<u>42</u>	<u>34</u>	<u>47</u>	15	<u>45</u>	<u>42</u>	<u>47</u>	12	<u>42</u>	<u>36</u>	<u>52</u>	12
<u>32</u>	<u>30</u>	<u>40</u>	8	<u>31</u>	<u>21</u>	<u>42</u>	15	<u>37</u>	<u>37</u>	<u>45</u>	16	<u>37</u>	<u>43</u>	<u>32</u>	6
<u>29</u>	<u>23</u>	<u>32</u>	17	<u>37</u>	<u>37</u>	<u>32</u>	14	<u>37</u>	<u>45</u>	<u>36</u>	14	<u>58</u>	<u>35</u>	<u>46</u>	19
Avg. Count			95	Avg. Count			108	Avg. Count			124	Avg. Count			127

Underlined values used in calculations

1.0 mL - 1 mL spread across 3 plates, counts totaled

\* Average Count is multiplied by a 0.99 conversion factor for comparing Neutralizer and Toxicity Test results

**TABLE III-A OF RESULTS**  
**NEUTRALIZER/TOXICITY RESULTS**

**Test Article:** 3554-194  
**Test Date:** July 24, 2003  
**Test Organism:** *Staphylococcus aureus*, ATCC 6538

**Neutralizer Effectiveness**

Neutralizer Medium				AOAC Phosphate Buffer Water			
0 Time		20 Minutes		0 Time		20 Minutes	
1.0 mL	0.1 mL	1.0 mL	0.1 mL	1.0 mL	0.1 mL	1.0 mL	0.1 mL
<u>76</u>	8	<u>89</u>	12	<u>57</u>	6	<u>65</u>	10
<u>55</u>	7	<u>79</u>	16	<u>52</u>	6	<u>52</u>	15
<u>65</u>	9	<u>82</u>	9	<u>59</u>	9	<u>60</u>	7
Avg. Count 65		Avg. Count 83		Avg. Count 56		Avg. Count 59	
Adjusted Count* 64		Adjusted Count* 82		Adjusted Count* 55		Adjusted Count* 58	

**Neutralizer Toxicity**

Neutralizer Medium				AOAC Phosphate Buffer Water			
0 Time		20 Minutes		0 Time		20 Minutes	
1.0 mL	0.1 mL	1.0 mL	0.1 mL	1.0 mL	0.1 mL	1.0 mL	0.1 mL
<u>78</u>	10	<u>82</u>	8	<u>62</u>	20	<u>60</u>	1
<u>79</u>	9	<u>78</u>	8	<u>72</u>	9	<u>78</u>	5
<u>71</u>	8	<u>93</u>	10	<u>73</u>	7	<u>89</u>	6
Avg. Count 76		Avg. Count 84		Avg. Count 69		Avg. Count 76	

Underlined values used in calculations

\* Average Count is multiplied by a 0.99 conversion factor for comparing Neutralizer and Toxicity Test results

**TABLE III-B OF RESULTS**  
**NEUTRALIZER/TOXICITY RESULTS**

**Test Article:** 3554-196  
**Test Date:** July 24, 2003  
**Test Organism:** *Staphylococcus aureus*, ATCC 6538

**Neutralizer Effectiveness**

Neutralizer Medium				AOAC Phosphate Buffer Water			
0 Time		20 Minutes		0 Time		20 Minutes	
1.0 mL	0.1 mL	1.0 mL	0.1 mL	1.0 mL	0.1 mL	1.0 mL	0.1 mL
<u>64</u>	6	<u>83</u>	6	<u>0</u>	0	<u>0</u>	5
<u>60</u>	10	<u>71</u>	5	<u>0</u>	0	<u>0</u>	4
<u>71</u>	8	<u>80</u>	9	<u>0</u>	0	<u>0</u>	0
Avg. Count 65		Avg. Count 78		Avg. Count <1		Avg. Count <1	
Adjusted Count* 64		Adjusted Count* 717		Adjusted Count* <1		Adjusted Count* <1	

**Neutralizer Toxicity**

Neutralizer Medium				Phosphate Buffer Water			
0 Time		20 Minutes		0 Time		20 Minutes	
1.0 mL	0.1 mL	1.0 mL	0.1 mL	1.0 mL	0.1 mL	1.0 mL	0.1 mL
<u>78</u>	10	<u>82</u>	8	<u>62</u>	20	<u>60</u>	1
<u>79</u>	9	<u>78</u>	8	<u>72</u>	9	<u>78</u>	5
<u>71</u>	8	<u>93</u>	10	<u>73</u>	7	<u>89</u>	6
Avg. Count 76		Avg. Count 84		Avg. Count 69		Avg. Count 76	

Underlined values used in calculations

\* Average Count is multiplied by a 0.99 conversion factor for comparing Neutralizer and Toxicity Test results

**TABLE IV-A OF RESULTS**  
**NEUTRALIZER/TOXICITY RESULTS**

**Test Article:** 3554-194  
**Test Date:** July 24, 2003  
**Test Organism:** *Streptococcus pneumoniae*, ATCC 6303

**Neutralizer Effectiveness**

Neutralizer Medium								AOAC Phosphate Buffer Water							
0 Time				20 Minutes				0 Time				20 Minutes			
1.0 mL			0.1 mL	1.0 mL			0.1 mL	1.0 mL			0.1 mL	1.0 mL			0.1 mL
<u>19</u>	<u>24</u>	<u>6</u>	18	<u>23</u>	<u>21</u>	<u>8</u>	5	<u>44</u>	<u>44</u>	<u>42</u>	11	<u>42</u>	<u>48</u>	<u>56</u>	7
<u>18</u>	<u>14</u>	<u>13</u>	8	<u>20</u>	<u>15</u>	<u>20</u>	4	<u>52</u>	<u>53</u>	<u>62</u>	13	<u>49</u>	<u>31</u>	<u>39</u>	16
<u>25</u>	<u>15</u>	<u>17</u>	17	<u>14</u>	<u>17</u>	<u>19</u>	15	<u>56</u>	<u>46</u>	<u>53</u>	14	<u>59</u>	<u>29</u>	<u>50</u>	17
Avg. Count 50				Avg. Count 52				Avg. Count 151				Avg. Count 134			
Adjusted Count* 50				Adjusted Count* 51				Adjusted Count* 146				Adjusted Count* 133			

**Neutralizer Toxicity**

Neutralizer Medium								AOAC Phosphate Buffer Water							
0 Time				20 Minutes				0 Time				20 Minutes			
1.0 mL			0.1 mL	1.0 mL			0.1 mL	1.0 mL			0.1 mL	1.0 mL			0.1 mL
<u>19</u>	<u>24</u>	<u>23</u>	12	<u>28</u>	<u>23</u>	<u>21</u>	10	<u>48</u>	<u>36</u>	<u>42</u>	16	<u>59</u>	<u>49</u>	<u>42</u>	22
<u>34</u>	<u>31</u>	<u>26</u>	16	<u>12</u>	<u>34</u>	<u>21</u>	15	<u>46</u>	<u>45</u>	<u>48</u>	16	<u>49</u>	<u>42</u>	<u>51</u>	19
<u>20</u>	<u>24</u>	<u>27</u>	11	<u>18</u>	<u>27</u>	<u>17</u>	11	<u>48</u>	<u>44</u>	<u>39</u>	25	<u>39</u>	<u>46</u>	<u>48</u>	24
Avg. Count 76				Avg. Count 67				Avg. Count 132				Avg. Count 142			

Underlined values used in calculations

1.0 mL - 1 mL spread across 3 plates, counts totaled

\* Average Count is multiplied by a 0.99 conversion factor for comparing Neutralizer and Toxicity Test results

**TABLE IV-B OF RESULTS**  
**NEUTRALIZER/TOXICITY RESULTS**

**Test Article:** 3554-196  
**Test Date:** July 24, 2003  
**Test Organism:** *Streptococcus pneumoniae*, ATCC 6303

**Neutralizer Effectiveness**

Neutralizer Medium								AOAC Phosphate Buffer Water							
0 Time				20 Minutes				0 Time				20 Minutes			
1.0 mL			0.1 mL	1.0 mL			0.1 mL	1.0 mL			0.1 mL	1.0 mL			0.1 mL
<u>16</u>	<u>12</u>	<u>19</u>	16	<u>17</u>	<u>11</u>	<u>13</u>	11	<u>37</u>	<u>27</u>	<u>47</u>	18	<u>29</u>	<u>55</u>	<u>42</u>	13
<u>12</u>	<u>31</u>	<u>20</u>	12	<u>17</u>	<u>14</u>	<u>19</u>	12	<u>44</u>	<u>34</u>	<u>49</u>	17	<u>35</u>	<u>49</u>	<u>45</u>	10
<u>12</u>	<u>30</u>	<u>22</u>	10	<u>23</u>	<u>19</u>	<u>15</u>	14	<u>37</u>	<u>23</u>	<u>4</u>	10	<u>36</u>	<u>59</u>	<u>34</u>	24
Avg. Count			58	Avg. Count			49	Avg. Count			101	Avg. Count			128
Adjusted Count*			57	Adjusted Count*			48	Adjusted Count*			100	Adjusted Count*			127

**Neutralizer Toxicity**

Neutralizer Medium								AOAC Phosphate Buffer Water							
0 Time				20 Minutes				0 Time				20 Minutes			
1.0 mL			0.1 mL	1.0 mL			0.1 mL	1.0 mL			0.1 mL	1.0 mL			0.1 mL
<u>19</u>	<u>24</u>	<u>23</u>	12	<u>28</u>	<u>23</u>	<u>21</u>	10	<u>48</u>	<u>36</u>	<u>42</u>	16	<u>59</u>	<u>49</u>	<u>42</u>	22
<u>34</u>	<u>31</u>	<u>26</u>	16	<u>12</u>	<u>34</u>	<u>21</u>	15	<u>46</u>	<u>45</u>	<u>48</u>	16	<u>49</u>	<u>42</u>	<u>51</u>	19
<u>20</u>	<u>24</u>	<u>27</u>	11	<u>18</u>	<u>27</u>	<u>17</u>	11	<u>48</u>	<u>44</u>	<u>39</u>	25	<u>39</u>	<u>46</u>	<u>48</u>	24
Avg. Count			76	Avg. Count			67	Avg. Count			132	Avg. Count			142

Underlined values used in calculations

1.0 mL - 1 mL spread across 3 plates, counts totaled

\* Average Count is multiplied by a 0.91 conversion factor for comparing Neutralizer and Toxicity Test results